

Due Diligence Center

Information routinely requested for due diligence purposes is posted on this page. Please note that Alloya Investment Services does not perform SAS 70 reporting, however the alternative document listed below (updated regularly) has been sufficient for those requesting it.

Special Requests - To request a specific due diligence-related item not listed on this page, please click [here](#).

Overview Expand

- **Organization**
- **Meet Our Team**

ISI BrokerCheck Expand

- **ISI Firm Summary**
- **ISI FINRA Broker Check Report** - Search firm by "CU Investment Solutions" (known as ISI) and zipcode "66210"

Financials Expand

- **Financial Reports**

Business Resumption Plan Expand

- **Continuity Plan Disclosure**
- **Business Continuity** (SAS 70 replacement)

Insurance Expand

- Provided upon request - click [here](#).

ISI SIPC Membership Expand

- Click [here](#) for SIPC's member database - Searching by zip 66210 will return CU Investment Solutions LLC (ISI)

Other Documents Expand

- **Non-Disclosure Agreement**